



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GENE F. YOUNG

Application No.: 09/739,388

Filed: December 19, 2000

For: **HIGH DENSITY SERVERLETS**

UTILIZING HIGH SPEED DATA BUS

Art Group: 2666

Examiner: Kevin C. Harper

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The references were cited in a communication in a counterpart PCT application on March 22, 2004, in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKEDY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 19, 2004

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

05-19-04

Derek S. Watson

Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 1 | of | 2

| Complete if Known | | | | | | |
|------------------------|-------------------|--|--|--|--|--|
| Application Number | 09/739,388 | | | | | |
| Filing Date | December 19, 2000 | | | | | |
| First Named Inventor | Gene F. Young | | | | | |
| Art Unit | 2666 | | | | | |
| Examiner Name | Kevin C. Harper | | | | | |
| Attorney Docket Number | 42390P9956 | | | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | | | |
|-----------------------|-----------------------|---|---|--|---|--|--|--|--|--|
| Examiner Initials* | Cite No.¹ | Document Number Number - Kind Code² (if known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | | | |
| | 1 | US-6,148,349 | 11-14-2000 | Chow et al. | | | | | | |
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| | | FOR | EIGN PATENT | DOCUMENTS | |
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| Examiner | Cite | Foreign Patent Document | | | Pages, Columns, Lines, |
| Initials* | No.1 | Country Code - Number - Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear |
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| Examiner | Date | |
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'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible phicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE

Filing Date December 19, 2000 STATEMENT BY APPLICANT First Named Inventor Gene F. Young Art Unit 2666 Examiner Name Kevin C. Harper 2 Attorney Docket Number 2 of Sheet 42390P9956_

Application Number

Complete if Known

09/739,388

| | <u>.</u> | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|-----|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number. Applicant is to place a check mark here if English language Translation is attached.

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| (to be used for all | correspondence af | ter initial filing) | First Named Inventor | Gene | F. Young | |
| | | | Art Unit | 2666 | | |
| | | | Examiner Name | Kevir | n C. Harper | |
| Total Number of F | Pages in This Submiss | sion | Attorney Docket Number | er 42390 | DP9956 | |
| ENCLOSURES (check all that apply) | | | | | | |
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| | SIGNATUR | RE OF APPLICA | NT, ATTORNEY, OR | AGENT | | |
| Firm | Paul A. Mendo | nsa, Reg. No. | 42,879 | | | |
| <i>or</i> Individual name | BLAKELY | SOKOLOFF. | TAYLOR & ZAF | MAN I | LLP | |
| Signature | Tank A | / / | Louses | | | |
| Date | May 19, 2004 | | | | | |
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| I hereby certify that th sufficient postage as t 22313-1450. | is correspondence is l first class mail in an er | being deposited w nvelope addressed | ith the United States Pos d to: Commissioner for Pa | tal Service Itents, P.C | e on the date shown below with D. Box 1450, Alexandria, VA | |
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| Signature | don | <u> </u> | | Date | May 19, 2004 | |



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Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

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| Application Number | 09/739,388 | | | | | |
| Filing Date | December 19, 2000 | | | | | |
| First Named Inventor | Gene F. Young | | | | | |
| Examiner Name | Kevin C. Harper | | | | | |
| Art Unit | 2666 | | | | | |
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| The Commissioner is authorized to: (check all that apply) | | 2053 1812 | 2,520 | 2053 1812 | 2,520 | For filing a request for | | ination | |
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| CFR §§ 1.16, 1.17, 1.18 and 1.20. | " | 1805 | 1,840 * | 1805 | 1,840 | Requesting publication | on of SIR after | | |
| Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account | | | 110 | | 55 | Extension for reply wi | ithin first month | | |
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| 1. BASIC FILING FEE | | 1253 | | 2253 | | Extension for reply wi | | | |
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| 1001 770 2001 385 Utility filing fee | - 11 | 1404 | 330 | 2401 | 165 | Notice of Appeal | | | |
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| 1003 530 2003 265 Plant filing fee | | 1403 | 290 | 2403 | 145 | Request for oral hear | - | | |
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| SUBTOTAL (1) (\$) | — I | 1453 | 1,330 | 2453 | 665 | Petition to revive - un | | | |
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| 2. EXTRA CLAIM FEES Extra Fee from | | 1502 | 480 | 2502 | 240 | Design issue fee | | | |
| Total Claims below Fee | | 1503 | 640 | 2503 | 320 | Plant issue fee | | | |
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| 1202 18 2202 9 Claims in excess of 20 | | 1809 | 770 | 1809 | 360 | (37 CFR § 1.129(a)) | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | | 1810 | 770 | 2810 | 385 | For each additional in | | | |
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| Name (Print/Type) Paul A. Mendonsa | | | torney/Age | | 4 | 12,879 | Telephone | J (203) 6 | 84-6200 |

Signature



FEE TRANSMITAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

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| Application Number | 09/739,388 | | | | | |
| Filing Date | December 19, 2000 | | | | | |
| First Named Inventor | Gene F. Young | | | | | |
| Examiner Name | Kevin C. Harper | | | | | |
| Art Unit | 2666 | | | | | |
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| The Commissioner is authorized to: (check all that apply) | 18 | | 1812 | 2,520 | For filing a request fo | | ination | |
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| Observed and different factors are an analysis of factors are according to the control of the co | | | | | Examiner action | | | |
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| 1. BASIC FILING FEE Large Entity Small Entity | | | 2254 | 740 | Extension for reply w | | | |
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| 1001 770 2001 385 Utility filing fee | 140 | | 2401 | 165 | Filing a brief in suppo | ort of an anneal | | |
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| 1202 18 2202 9 Claims in excess of 20 | | | | | (37 ČFR § 1.129(a)) | | | |
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| 1204 86 2204 43 **Reissue independent claims over original | 18 | | 2801 | 385 | Request for expedite | | | [|
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| SUBTOTAL (2) (\$) | 11 | | | | | | | |
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| Name (Print/Type) Paril Ay Mendonsa | | (Attorney/Age | | ' | 42,879 | Telephone | (503) 68 | o 4 -0∠00 |

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